

Docket Number: 06 68-0308407

Client Reference: P-1813.000-US



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

RUDY JAN MARIA PELLENS

Group Art Unit: 2826

Application No.: 10/783,034

Examiner: Fetsum Abraham

Filed: February 23, 2004

Confirmation No.: 3791

For: DEVICE MANUFACTURING METHOD AND SUBSTRATE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. One copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the references has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Please charge Deposit Account 033975 in the amount of \$180.00 in payment of the fee under 37 CFR 1.17(i)(p). Please credit or debit Deposit Account 033975 as needed to ensure consideration of the disclosed information.

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR 1.97(e)(1).

The references were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.

Respectfully Submitted,



E. R. Hernandez
Registration Number 47641
Customer Number: 00909

Date: January 9, 2006
PILLSBURY WINTHROP SHAW PITTMAN LLP
Telephone: (703) 770-7900
Facsimile: (703) 770-7901
P.O. Box 10500
McLean, VA 22102



Atty.
Dkt. No.

M#

Client Ref.

081468

0308407

P-1813.000-US

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

Applicant: PELLENS

Appln. No.: 10/783,034

Filing Date: February 23, 2004

Date: August 15, 2007

Page

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of

1

Examiner: Kevin V. Quinto

Group Art Unit: 2826

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR					
	BR					
	CR					
	DR					
	ER					
	FR					
	GR					
	HR					
	IR					
	JR					
	KR					
	LR					
	MR					
	NR					

FOREIGN PATENT DOCUMENTS

		Document Number	Date MM/YYYY	Country	Inventor Name		Abstract		Readily Available	
							Enclosed	No	Enclose	No
	OR									
	PR									
	QR									
	RR									
	SR									
	TR									
	UR									
	VR									
	WR									
	XR									

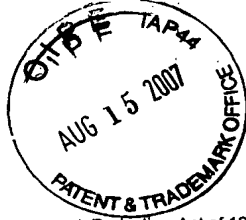
OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

YR	N. SAMOTO ET AL., Sub-0.1-um T-Shaped Gate Fabrication Technology Using Mixing-Layer Sidewalls in a Double-Layer Resist System, J. Vac. Sci Technology, American Vacuum Society, Vol. 12 (No. 6), pp. 3673-3676, (November/December 1994).			
ZR				

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



PTO/SB/17 (12-04)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818).

FEE TRANSMITTAL for FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete if Known

Application Number	10/783,034
Filing Date	February 23, 2004
First Named Inventor	RUDY JAN MARIA PELLENS
Examiner Name	Fetsum Abraham
Art Unit	2826
Attorney Docket No.	081468-0308407

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
☒ Deposit Account Deposit Account Number: 033975 Deposit Account Name: PILLSBURY WINTHROP SHAW
PITTMAN LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayment of fees(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fee Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150 100	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims - 20 or HP = _____ Extra Claims X Fee (\$) = Fee Paid (\$)
HP = highest number of total claims paid for, if greater than 20
Indep. Claims - 3 or HP = _____ Extra Claims X Fee (\$) = Fee Paid (\$)
HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets - 100 = _____ Extra Sheets /50= _____ Number of each additional 50 or fraction thereof x Fee (\$) 250.00 = Fee Paid (\$)

4. OTHER FEE(S)

Non-English Specification, 130 fee (no small entity discount)
Other: Information Disclosure Statement Fee

180.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	47641	Telephone	703770.7788
Name (Print/Type)	E. R. Hernandez	Date	January 9, 2006		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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Sheet	1	of	1
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RECEIPT FROM PTO FOR INDICATED ITEMS

(Do NOT Use for New or Continuing Applications of Any Kind)
Use 2 postcards for all New Applications (including cont/Div/CIP)
Use this sheet when filing CPA or RCE

Appln. No: 10/783,034	Atty: E. R. Hernandez/La Toya M. Jackson
First Inventor: RUDY JAN MARIA PELLENS	Date: January 9, 2006
Title: DEVICE MANUFACTURING METHOD AND SUBSTRATE	Attorney Docket No: 081468-0308407

ENCLOSED:

- ☒ IDS Letter
- ☒ Foreign Sch Rep
- ☒ PTO-1449
- ☒ Cited Documents
- ☒ Fee Transmittal



\$ Total Fee Charged to Deposit Account

CURRENT DUE DATE:

COPY

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Information Disclosure Statement by Applicant (PTO/SB/08B);
Additional Other Prior Art - Non Patent Literature Documents

Attachment 1

- a. N. SAMOTO ET AL., Sub-0.1-um T-Shaped Gate Fabrication Technology Using Mixing-Layer Sidewalls in a Double-Layer Resist System, J. Vac. Sci Technology, American Vacuum Society, Vol. 12 (No. 6), pp. 3673-3676, (November/December 1994).